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	Note to reader					
	This draft version of Chapter 1 in the Technical Background Report to the Global Mercury Assessment 2018 is made available for review by national representatives and experts. The draft version contains					
	material that will be further refined and elaborated after the review process. Specific items where the content of this draft chapter will be further improved and modified are:					
	1. All graphics will be redrawn to a common appearance from the					
	originals presented here, with their sources cited in the captions. 2. References will be completed and presented in a uniform style.					
	3. Conclusions and main messages will be formulated					
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## 34 Chapter 1 Introduction

#### 35 **1.1 Background and Mandate**

- 36 This report constitutes the Technical Background Material to the Global Mercury Assessment 2018
- 37 (GMA 2018). The GMA 2018 has been prepared in response to a request issued by UNEP's Governing
- 38 Council (now UN Environment Assembly) that UN Environment should update its 2013 Global Mercury
- Assessment (GMA 2013) within a period of 6 years, i.e. for delivery no later than 2019. This Technical
- 40 Background report is developed as a joint project by UN Environment and the Arctic Monitoring and
- 41 Assessment Programme (AMAP).
- 42 The GMA 2018 provides a scientific assessment of Hg emissions and releases, and its transport of fate in
- 43 the global environment. The report reflects progress made by the scientific community, national
- 44 authorities and organisations in better understanding atmospheric Hg emissions (Chapter 2), Hg levels in
- 45 air (Chapter 3), atmospheric transport and fate (Chapter 4), releases to water (chapter 5), and the
- 46 cycling and methylation of Hg in the aquatic environment (Chapter 6). In addition to updating the GMA
- 47 2013, this report includes additional, new sections on observed levels of Hg in biota (Chapter 7), and
- 48 observed levels and effects of Hg in humans (Chapter 8).

## 49 **1.2 Recent advances in understanding of global mercury cycling**

#### 50 1.2.1 A General Overview

Owing to the scale and chemical complexity of Hg in the global environment, and the lack of detailed 51 52 information for many parts of the Hg cycle, the planetary Hg cycle is best described and communicated 53 in a quantitative manner by using the outputs from global-scale models, the subject of this section. In general, Hg is released into the global environment from natural sources and processes such as 54 volcanoes and rock weathering, and as a result of human activities. Once it has entered the 55 56 environment, Hg cycles between the major environmental compartments – air, soils and waters – until it 57 is eventually removed from the system through burial in deep ocean sediments and mineral soils (Fig. 58 1.2.1). Only a minute fraction of the Hg present in the environment is in the most toxic and bioavailable form - monomethylmercury (MeHg). Monomethylmercury is produced from inorganic Hg mainly in 59 60 aquatic ecosystems through natural microbiological processes. The natural processes responsible for the 61 formation and destruction of MeHg are only partly understood, which contributes to the difficulties in 62 predicting the direct positive effects of regulatory action on biological Hg concentrations and human

exposure. However, regulatory action can only work to reduce anthropogenic Hg inputs into the
 environment. Recent findings on the methylation/ demethylation part of the Hg cycle are presented in

65 Chapter 6.2.

In the 2013 Global Mercury Technical Assessment (AMAP/UNEP, 2013), based on a global model and 66 67 budget developed by Mason et al. (2012), it was estimated that over the past century anthropogenic 68 activities cumulatively have increased atmospheric Hg concentrations by 300-500%, whereas Hg in 69 surface ocean waters less than 200 metres deep has increased on average by ~200%. Deeper waters 70 exhibit smaller increases (11-25%) because of limited exposure to atmospheric and riverine 71 anthropogenic Hg inputs, and the century- to millennium-scale residence times of these slowly over-72 turning, isolated water masses. Because of the naturally large Hg mass present in soils, the average Hg increase is only ~20% in surface organic soils and is negligible in mineral soils. 73

As with almost all modelled global budgets of trace elements and chemical substances, large uncertainties exist regarding the amounts of Hg 'stored' in different environmental compartments, the fluxes of Hg between them, and the rates of removal of Hg from the biologically active parts of the global environment (AMAP/UNEP, 2013). These uncertainties limit confidence in our understanding of the Hg cycle and in our ability to predict the responses of ecosystem Hg concentrations to changes in emissions due to international regulatory actions. Therefore, major on-going efforts have been mounted to reduce these uncertainties and derive a more robust, accurate global model.

Since 2012, additional measurements of Hg concentrations and fluxes in oceans, atmosphere and soils 81 82 have led to suggested refinements of global budgets and models by several research groups (Table 83 1.2.1), but major uncertainties persist. In general, the new estimates of Hg in the atmosphere mostly 84 agree within the limits of uncertainty with the AMAP/UNEP (2013) budget. However, two of the recent studies (Amos et al., 2013; Zhang et al., 2014) suggest that the terrestrial system contains a larger 85 86 fraction of anthropogenic Hg compared to the oceans than was previously believed. This revision is 87 supported by new modelling of the global transport and fate of atmospheric gaseous elemental mercury (GEM) (Song et al., 2015). Also, recent work on atmospheric Hg dynamics under forest canopies suggests 88 that the uptake of GEM through leaf stomata at night-time has previously been significantly 89 90 underestimated, and that GEM-containing litterfall and throughfall in global vegetation, and not wet and dry deposition of Hg<sup>II</sup> species, may represent the largest net flux of atmospheric Hg to terrestrial 91 92 ecosystems (Fu et al., 2016; Wang et al., 2016; Obrist et al., 2017).

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93 With respect to the world's oceans, there are significant differences between the new models

94 concerning the quantity of anthropogenic Hg presently circulating in seawater (c.f. Amos et al., 2013,

95 2015; Zhang et al., 2014; Lamborg et al., 2014). Because much of the current risk from Hg to human and

96 wildlife is derived from marine food-webs, the questions of how much anthropogenic Hg is present in

97 the oceans, its distribution, and its rate of clearance from seawater, are of fundamental importance and

98 so are the main focus of section 1.2.

99 The observed differences between models concerning these questions are primarily due to varying

100 estimates of the amount and environmental fate of atmospheric emissions from historical mining in the

101 Americas between the 15<sup>th</sup> and late 19<sup>th</sup> centuries, and to differences in the estimated amount of natural

102 Hg originally present in the oceans (see Table 1.2.1). Overall, the different chemical rate constants used

103 for modelling circulation processes within and between oceanic, atmospheric and terrestrial

104 compartments are a secondary factor in uncertainty. Substantial Hg releases to land, freshwaters and air

105 occurred from primary Hg mineral mining, and gold (Au) and silver (Ag) mining and amalgamation, in

106 South/Central America during the Spanish colonial period (ca. 1450-1850 AD), and later from North

107 American artisanal and small-scale Au and Ag mining during the "Gold Rush" era (ca. 1850-1920)

108 (Nriagu, 1993; Strode et al., 2009). It is generally agreed that some fraction of the Hg from these

109 historical emissions is still circulating within the global environment, and that this has had an effect on

110 present-day environmental Hg levels, especially in the oceans. But quantification of that effect is

111 currently uncertain.

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	Amos et al. (2013)	Zhang et al. (2014)	Lamborg et al. (2014)	Mason et al. (2012); AMAP/UNEP (2013)
Atmospheric Hg				
Total	5.3	4.4	n/a	5.1
Anthropogenic	4.6	3.6	5	3.4-4.1 <sup>b</sup>
Natural	0.7	0.8	2	1.0-1.7
			Q	
Soil Hg				
Total	271		n/a	201
Anthropogenic	89	92		40
Natural	182	- 6		161
		ž		
Oceanic Hg		20		
Total	343	257	316	358
Anthropogenic	222	66 (38-106) <sup>c</sup>	58 <u>+</u> 16 <sup>d</sup>	53
Natural	122	191	258 <sup>e</sup>	305
		the second secon		

112 Table 1.2.1. Recent estimates of total, anthropogenic and "natural"<sup>a</sup> Hg in global air, soils and oceans (units in kilotonnes (1 kt = 1,000 t)).

<sup>a</sup> - The time point for designation of the "natural" Hg states, and therefore the quantification of "natural" and "anthropogenic" Hg masses, differed between
 studies: specified at 2000 BC in the "pre-anthropogenic period" by Amos et al. (2013), at 1450 AD by Zhang et al. (2014) which was prior to major historical
 mining, and *ca*. 1840 AD by Lamborg et al. (2014) which was prior to the North American "Gold Rush" and the expansion of coal-fired combustion sources. The
 anthropogenic Hg values from Mason et al. (2012) are based on increases over the last century, and thus their "natural" Hg mass may be over-estimated and
 the anthropogenic mass under-estimated compared with the other studies.

<sup>b</sup> – Ranges for anthropogenic and "natural" Hg calculated assuming an estimated 300-500% increase in total Hg due to anthropogenic activities over past
 century (Mason et al. 2012).

120 <sup>c</sup> - the Zhang et al. (2014) best estimate for oceanic anthropogenic Hg is followed by its uncertainty range in brackets.

- <sup>d</sup> based on an oceanic anthropogenic Hg:anthropogenic CO<sub>2</sub> ratio for 1994; a more recent (higher) oceanic CO<sub>2</sub> estimate gave an Hg<sub>anthr</sub> estimate of 76 kt Hg 121 122 (Lamborg et al., 2014).
- 123 <sup>e</sup> – calculated by subtraction.

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#### 124 1.2.2 How much anthropogenic Hg is in the world's oceans, and what was its source?

- 125 The total amount of Hg currently in oceans reflects a mixture of sources: historical anthropogenic inputs
- to air, land and oceans; historical natural emissions; and current year anthropogenic and natural
- 127 releases. Consequently, global models need to estimate these quantities and how they have been
- 128 cycled, transported and transformed over long (decadal to century) time-scales.
- 129 Up until 2012, the published estimates of oceanic anthropogenic Hg exhibited more than an order of
- magnitude range, from 7.2 to 263 kt (Mason et al., 1994; Lamborg et al., 2002; Sunderland and Mason,
- 131 2007; Selin et al., 2008; Strode et al., 2010; Soerensen et al., 2010; Streets et al., 2011; Mason et al.
- 132 2012). Since then, another estimate (222 kt) near the upper end of this range was derived by Amos et al.
- 133 (2013) based on Streets et al. (2011) putative history of major emissions from historical Ag and Au
- 134 mining activities. Subsequently, however, Zhang, Streets and colleagues (Zhang et al., 2014) revised the
- 135 historical mining emissions downwards by three-fold to make the trends in global Hg emissions more
- 136 compatible with the Hg deposition histories recorded in 120 lake sediments world-wide (Figure 1.2.2).
- The revision was stimulated in part by a historical analysis of documented liquid elemental Hg 137 importation and consumption during Ag mining operations in the 15-17<sup>th</sup> centuries in what is today 138 Mexico, Peru and Bolivia (Guerrero, 2012). In the AMAP/UNEP 2013 report, it was estimated that 45% of 139 140 the Hg used in artisanal gold mining and amalgamation in the present-day was volatilized into the atmosphere. By comparison, Guerrero (2012) suggested that only 7-34% was volatilized during historical 141 142 Ag production, with 66-93% of the consumed Hg forming solid calomel ( $Hg_2Cl_2$ ) that was trapped in 143 mining waste and deposited locally into streams or landfills. This new study of historical Ag production is a potentially important advance in understanding of the global Hg cycle, because another recent 144 145 estimate of cumulative global atmospheric Hg emissions from all man-made sources up to 2010 146 suggested that Ag production was the largest single source of Hg, contributing several-times more Hg to 147 air throughout history (146 kt, 31% of total) than large-scale and artisanal gold production combined (55.4 kt; Streets et al. 2017). Emissions from Ag production are thought to have peaked during the late 148 149 19<sup>th</sup> century, coincident with North American mining, however, there are relatively large uncertainties of up to 100% (represented in terms of 80% confidence intervals) around the total emissions for the period 150 1870-1910 (Streets et al. 2017). 151
- After assuming that historical Ag and Au mining and amalgamation had the same loss rate to the
  atmosphere (17%), Zhang et al.'s (2014) revised anthropogenic emission inventory (see Fig. 1.2.2) was
  - 1-8

markedly smaller than that of Streets et al. (2011) (cumulative totals of 190 kt versus 351 kt,

respectively). Using this revised inventory with the GEOS-Chem model, Zhang et al. (2014) found a 3-fold

156 lower current oceanic anthropogenic Hg mass than that derived by Amos et al. (2013) using the same

157 model but a larger emission from historical mining (see Table 1.2.1).

158 Corroborative data supporting the lower historical mining emissions proposed by Zhang et al. (2014) 159 came from an independent analysis of another large global set of lake sediment Hg profiles (Engstrom et 160 al. 2014). Atmospheric Hg deposition was substantially increased during the Spanish colonial period in 161 one South American lake (Negrita) close to the sites of historical mining and amalgamation activities, 162 with less impact in another regional lake (El Junco) further away (Fig. 1.2.3). But no evidence of 163 increased deposition at this time was found in sediment cores from remote North American or African 164 lakes (see Fig. 1.2.3), suggesting that the historical contamination from Spanish colonial mining was 165 geographically limited to surrounding terrestrial and freshwater ecosystems. Thus, the world-wide lake 166 sediment record indicates a large local, but negligible global, impact from Spanish era Au and Ag mining and production during the 15<sup>th</sup> to 17<sup>th</sup> centuries. 167

Amos et al. (2015) discounted this evidence by arguing that lake sediments in general respond relatively 168 169 slowly and insensitively to changes in atmospheric Hg deposition. Recent evidence of significant GEM 170 uptake by plant leaves, and of high Hg fluxes to soils in leaf litterfall and throughfall (Fu et al., 2016; Obrist et al., 2017), raises another complicating possibility - that sediment archives ultimately may be 171 172 more reflective of trends in GEM concentrations, through transfer from watersheds via litterfall and 173 throughfall, rather than of wet and dry deposition. Amos et al. (2015) also proposed that the Guerrero 174 (2012) volatilization estimate was unrealistically low because it omitted Hg losses during amalgamation, 175 reprocessing of Hg-containing Ag and Au products, and revolatilization from historic solid mining wastes. 176 Evaluation of alternative GEOS-Chem model scenarios by Amos et al. (2015) suggested that the "mining 177 reduced 3x" history of Zhang et al. (2014) was inconsistent with Hg measurements in present-day 178 environmental reservoirs, as well as with the magnitude of Hg enrichment in peat and some lake 179 sediment archives. However, examination of the published model outputs shows that the reduced 180 mining scenario gave markedly closer agreement with observed upper ocean total Hg concentrations 181 and net oceanic evasion rates than the original mining emission history of Streets et al. (2011), with 182 almost identical present-day soil Hg concentrations and net terrestrial flux (see Amos et al. (2015), c.f. 183 Figs. 3d, 3g, 3f, 3h, respectively).

184 Independent evidence supporting the revised (lower) Zhang et al. (2014) emission history, and the lake 185 sediment records of Engstrom et al. (2014), was recently provided by three remote glacier ice core 186 records from the Yukon, Greenland, and Tibetan Plateau (Fig. 1.2.4). Streets et al. (2011) estimated 187 there to have been an average ~500% increase in primary anthropogenic emissions globally between 188 1850 and the late 1800s that was attributable to the North American Gold Rush. However, the two Arctic or sub-Arctic glacier records (Mount Logan, Yukon (Beal et al., 2015), and the NEEM site, 189 Greenland (Zheng, 2015)) reported increases in mean Hg accumulation rate of only 120% and 30%, 190 191 respectively, between 1748-1850 and 1851-1900. The ice core from Tibetan Plateau (Mount 192 Gelaidandong, ~6620 m.a.s.l.; Kang et al., 2016) did not display any marked increase in Hg accumulation in the late 1800s that could be due to large, globally-distributed emissions from the North American 193 194 Gold Rush (see Fig. 1.2.4). Furthermore, neither the Mt. Logan core, which extended back to ~1400 AD, 195 nor the Mt. Gelaidandong core, which extended back to 1477 AD, revealed elevated Hg accumulation during the 15<sup>th</sup> to 17<sup>th</sup> centuries that could be attributed to the Spanish Colonial Ag and Au mining 196 197 operations in Central America and Mexico. In both glacier cores, by far the highest Hg accumulation 198 rates over the last ~600 years occurred after the 1920s (Beal et al., 2015; Kang et al., 2016). In summary, 199 all three ice core records are in closer agreement with the downwards-revised historical emissions budget of Zhang et al. (2014) than with the earlier estimate by Streets et al. (2011) which underpinned 200 201 Amos et al.'s (2013) global model.

Thus, the weight of evidence at present supports the Zhang et al. (2014) emission history, and suggests 202 that the atmospheric Hg emissions produced by historical mining and amalgamation techniques were 203 204 geographically restricted, with dispersion confined mostly to local and regional terrestrial and 205 freshwater environments. That these historical emissions had significant effects on Hg levels in areas 206 around the mining operations is not in dispute. Other studies have shown marked local or regional 207 contamination of lake sediment and glacial ice archives by historical Ag/Au mining (e.g., Schuster et al., 208 2002; Cooke et al., 2009; Correla et al., 2017). However, current evidence supports the interpretation 209 that historical mining had less impact on globally-distributed atmospheric emissions and deposition than coal combustion and other high temperature industrial emissions had in the 20<sup>th</sup> century. Commercial 210 Hg-containing products have also been suggested to be significant contributors to global Hg releases to 211 212 air, soil and water from the late 1800s onwards (Horowitz et al., 2014). Overall, the recent revised emissions estimates, and archival records of deposition, support the prevailing paradigm that present-213

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day atmospheric deposition rates are 3- to 5-fold higher than during the pre-industrial period (i.e. from
1450 to 1850) (Engstrom et al., 2014; Lamborg et al., 2014; Zhang et al., 2014).

216 Nonetheless, the cumulative impacts of historical mining over four centuries on the current oceanic (and 217 terrestrial) anthropogenic Hg inventory have been substantial. Zhang et al. (2014) calculated that about 67% of the cumulative anthropogenic Hg emissions to air throughout history (130 out of 190 kt) was due 218 219 to precious metal mining, with 21% (40 kt) due to coal combustion and 11% (20 kt) from other industrial 220 activities. Zhang et al. (2014) also calculated that most of the anthropogenic Hg mass in today's oceans 221 (44 kt out of 66 kt) was deposited between 1450 and 1920 due to the emissions from historical Ag/Au 222 mining, with the remaining one-third coming from predominantly coal-based emissions since 1920. The 223 total anthropogenic mass in today's oceans (66 kt) estimated by Zhang et al. (2014) is in good 224 agreement with another recent estimate of oceanic anthropogenic Hg (58+16 kt; Lamborg et al., 2014) 225 derived using a completely different methodology based on seawater Hg concentration profiles 226 combined with anthropogenic  $CO_2$  and remineralized phosphate as proxies for oceanic Hg distribution. That two studies, using different approaches, arrived at similar estimates increases confidence in the 227 228 robustness of their conclusions. Both of these recent estimates fall within the lower half of the previous range of values and are close to the Mason et al. (2012) estimate of 53 kt used in AMAP/UNEP (2013) 229 230 (see Table 1.2.1).

Inconsistencies remain in the evidence pertaining to the actual rates of atmospheric historical mining 231 232 emissions that impacted the global atmosphere and oceans. Although the 3-fold reduction in mining emissions by Zhang et al. (2014) brought their modelled emission history during the late 19<sup>th</sup> and early 233 234 20<sup>th</sup> centuries closer to global lake sediment flux patterns, compared with the Streets et al. (2011) 235 inventory, the emissions pattern remained elevated compared to lake sediment trends during the same 236 period (see Fig. 1.2.2). Also, the estimate for cumulative pre-1920 anthropogenic emissions by Zhang et al. (2014; i.e., 67% of the total) is several times larger than the Mt. Logan ice core results reported by 237 238 Beal et al. (2015; in which only 22% of total accumulated Hg was deposited prior to 1900), and the Mt. 239 Gelaidandong study by Kang et al. (2016; see above). It may be that a further reduction in the assumed 240 proportion of volatilized Hg from historical mining/amalgamation would bring the emission history and the remote lake sediment and ice core records into even closer agreement. 241



#### 242 **1.2.3** Where is anthropogenic Hg distributed in the environment, especially the oceans?

243 The Zhang et al. (2014) global model projected that in the current global environment, 2% (3.6 kt) of the all-time cumulative anthropogenic emissions remains in the atmosphere, 48% (92 kt) is held in soils, and 244 50% (94 kt) in the oceans - 35% (66 kt) in seawater, and 15% (28 kt) buried in ocean sediments. For the 245 246 oceans, atmospheric deposition from current primary emissions as well as revolatilization of legacy emissions contributes over 90% of the total (atmosphere + rivers) Hg inputs (4.0 out of 4.3 kt/yr; Fig. 247 248 (1.2.5), with riverine inputs that reach the open ocean comprising a minor fraction (6%, 0.3 kt/yr.). Amos et al. (2014) estimated a substantially higher riverine contribution (1.5+0.8 kt/yr.; 30% of total 5.2 kt/yr. 249 250 inputs) to the open ocean based on an observational database of riverwater Hg concentrations and 251 consideration of river-offshore transport efficiencies for different estuary types. Most (72%) of the 252 riverine Hg entering into estuaries was scavenged and deposited into coastal marine sediments (Amos et al., 2014). By comparison, Mason et al. (2012) arrived at an estimate of 0.38 kt/yr. from rivers, which 253 comprised ~10% of total ocean inputs. Recent data from Chinese rivers (Liu et al., 2016) support the 254 lower estimates of Mason et al. (2012) and Zhang et al. (2014). 255

256 Significant differences exist between recent models in their portrayal of the vertical distribution of oceanic anthropogenic Hg because of the above-mentioned variance in historical emission estimates 257 and different assumptions about the penetration rate of anthropogenic Hg into deep ocean waters. 258 Zhang et al. (2014) and Lamborg et al. (2014) largely agreed in their relative distribution, except that the 259 deep ocean (below 1000 m depth) contained proportionally more anthropogenic Hg in Zhang et al.'s 260 261 (2014) simulation (45% of total oceanic anthropogenic Hg, vs 35% in Lamborg et al. (2014)). Compared to Zhang et al. (2014), Streets et al. (2011) and Amos et al. (2013) calculated similar increases in the 262 263 anthropogenic Hg content of the surface ocean (4.4 times natural concentrations, vs. 3.6-5.9 times, 264 respectively), but larger increases in the thermocline/intermediate depths (1.2 times, vs 2.7-5.3 times) and deep ocean (1.2 times, vs. 1.5-2.1 times). In addition to their adoption of larger historical mining 265 266 emission estimates, Streets et al. (2011) and Amos et al. (2013) assumed faster vertical mixing rates 267 compared with the other two studies.

Large inter-basin differences in the distribution of anthropogenic Hg were also apparent in intermediate and deep ocean waters, but were relatively uniform in surface waters, in the modelling of Zhang et al. (2014) (Fig. 1.2.6). Vertical and horizontal advection of Hg inputs to the ocean which reflect ocean currents and areas of deep water formation, and high biological productivity and rapid particle scavenging of dissolved Hg in some tropical seas, account for the inter-basin patterns.

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# 1.2.4 What are the implications of different models for the rate of clearance of anthropogenic Hg from the world's oceans?

275 The differences between models and their underpinning historical mining emission estimates are 276 associated with significant differences in implied response times of the oceans to emission reduction 277 scenarios. All global ocean-atmosphere models predict that Hg clearance rates from most ocean basins will be slow relative to the rate of anthropogenic emission reductions in future, such that removal of 278 anthropogenic Hg from the world's oceans will take many decades to centuries depending on the ocean 279 280 basin and depth interval of the water mass in question, as well as the trajectory of emission controls 281 (Mason et al., 2012; Lamborg et al., 2014; Zhang et al., 2014; Amos et al., 2015). But according to Selin 282 (2013) and Engstrom et al. (2014), the "high emission" scenario of Streets et al. (2011) and Amos et al. 283 (2013, 2015) suggests much slower and delayed reductions in environmental Hg levels than other 284 models, especially in the oceans, following emission curbs. Even at current global emission levels, there 285 exists a general scientific consensus that seawater and marine food chain Hg levels are likely to 286 substantially increase, because of the slow clearance rate of legacy Hg from the world's oceans coupled with additional legacy anthropogenic Hg released from soil profiles into rivers and revolatilized into the 287 288 air (Sunderland and Selin, 2013).

Until current significant deficiencies in our understanding of marine Hg cycling, and the rates of 289 290 transformation between species that influence the major sinks for ocean Hg (evasion to the atmosphere and burial in sediments) are resolved, and greater consistency is achieved in the interpretation of 291 292 various natural archive recorders of Hg deposition from the atmosphere, the prediction of the timeline and effects of global emission reductions will remain uncertain. It is clear, however, that irrespective of 293 294 these scientific uncertainties, emissions reductions are required as soon as possible to reverse the trend 295 in oceanic anthropogenic Hg back towards natural levels because of the long response time of the ocean 296 to changes in inputs (Selin 2013; Sunderland and Selin, 2013; Engstrom et al., 2014).

#### 297 1.2.5 What are the main uncertainties in global Hg models and budgets?

Here we summarize the knowledge gaps and recommendations for further research from a number of
recent papers (Amos et al., 2013; Engstrom et al., 2014; Zhang et al., 2014, 2016; Lamborg et al., 2014,
2016; Song et al., 2015). Scientific uncertainties can be grouped under two headings: natural inputs and
processes, and anthropogenic emissions. Under the former category can be listed:

- 302 Removal rates of anthropogenic Hg from the surface ocean are the net result of competition ٠ between three simultaneously occurring natural processes: particulate flux from the surface 303 304 to the deep ocean (the "biological pump", involving particle scavenging and settling); the mixing of surface and deep-ocean waters; and the reduction of inorganic Hg<sup>II</sup> and 305 subsequent evasion of Hg<sup>0</sup> back into the atmosphere. Further coupled ocean-atmosphere 306 307 measurement studies are needed to comprehensively measure the concentrations of various Hg species spatially and temporally, and to better understand the transport and 308 309 transformation rates of these processes. The need is particularly acute in the Southern Hemisphere open oceans, as well as in regions where elevated anthropogenic Hg 310 concentrations can be expected, such as the eastern equatorial Atlantic, eastern equatorial 311 and high latitude Pacific, and northern Indian Oceans. 312
- Related to the latter effort, uncertainties in the robustness of measurements of atmospheric 313 314 and seawater Hg concentrations are exacerbated by relatively large inter-laboratory 315 comparison errors. Few inter-comparison efforts have been mounted (e.g. Gustin et al., 2013 for atmospheric  $Hg^0$  determinations); there is a particular need improve the overall 316 reliability of seawater Hg measurements. Past intercalibration exercises have only addressed 317 total Hg and total methylated Hg in seawater, and the results indicated significant 318 319 discrepancies amongst the participating laboratories. Future intercalibration exercises 320 should continue the effort of attaining reliable total Hg and MeHg measurements, and be 321 extended to all Hg species including unstable species such as dimethyl Hg and dissolved Hg<sup>0</sup>. The development of suitable seawater reference materials is encouraged. 322
- The role of natural inputs in the global Hg budget is poorly constrained but potentially is of
   primary importance. If the actual rate of emissions from natural sources is markedly higher
   than currently believed, it would undermine current assumptions about the absolute
   amounts of, relative balance between, natural and anthropogenic sources which are
   fundamental to modelling efforts and to our understanding of the global Hg cycle.
- Present estimates of global volcanic Hg emissions to air range over three orders of magnitude (0.1 –
  1000 t/yr.) (Nriagu, 1989; Ferrara et al., 2000; Pyle and Mather, 2003; Nriagu and Becker, 2003;
  Bagnato et al., 2014). For oceans, the AMAP/UNEP (2013) report assigned a value of <600 t/yr. total</li>
  Hg input from hydrothermal vents, which was based on few data and no systematic studies. Two
  recent Geotraces cruises sampled waters around hydrothermal vents in the North Atlantic and

333 equatorial Pacific Oceans (Bowman et al., 2015; 2016). In the North Atlantic, the plume of elevated 334 Hg concentration around the vent was highly developed and extended vertically over a depth of around 1000 m and for 1000 km away from the ridge crest (Bowman et al. 2015). In contrast, there 335 336 was no strong evidence for a plume over the East Pacific Rise in the equatorial Pacific (Bowman et 337 al., 2016). These results further indicate that there is a substantial difference in the extent of Hg inputs from different hydrothermal sources. Overall, there is not sufficient new information to 338 update the estimate of hydrothermal inputs made in 2013, although this may be the single most 339 340 important primary natural Hg source to the global Hg cycle (Sonke et al. 2013). In order to make 341 direct estimations for global hydrothermal Hg fluxes, more observations of (focused and diffuse-342 flow) vent fluids and hydrothermal plumes are needed to better constrain the Hg flux, and its contribution to the global Hg cycle (German et al. 2016). In addition, submarine groundwater 343 344 discharges are likely to bring important amounts of Hg into the ocean, which global models do not account for. Several recent papers indicate that Hg inputs via submarine groundwater are as large as 345 atmospheric inputs, at least in coastal environments (Bone et al. 2007, Laurier et al. 2007, Black et 346 al. 2009, Lee et al. 2011, Ganguli et al. 2012). 347

- Given the importance of terrestrial soils as possibly the largest repository of legacy
   anthropogenic Hg, global budget calculations will benefit from better understanding of
   terrestrial Hg cycling including measurements of the evasion rates of deposited Hg from
   soils, and release rates of Hg to water following degradation of soil organic matter.
- 352

353 In terms of anthropogenic emissions, the absolute amounts of historical emission inventories, especially 354 the role of precious metal mining, has been called into question by recent work comparing model outputs with past Hg deposition rates reconstructed from natural archives of atmospheric deposition 355 356 (see Zhang et al. 2014, c.f. Amos et al., 2015). Some of the uncertainty lies with the natural archives. For 357 example, a recent paper has shown that the Hg accumulation rates in a Tibetan Plateau glacier ice core 358 were 1 to 2 orders of magnitude lower than in a nearby lake sediment, yet the two archives yielded 359 remarkably similar trends (Kang et al., 2016). While the agreement in trends is encouraging, the 360 difference in absolute values begs the question of what is the most reliable quantitative estimate of past 361 atmospheric deposition. Amos et al. (2015) concluded that peat bog cores gave more accurate 362 reconstructions than most lake sediment cores. Given the now-apparent importance of historical 363 emissions to current world Hg budgets and to future emission reduction scenarios, and the significant

differences in the natural archive records of those emissions, a concerted effort to understand the

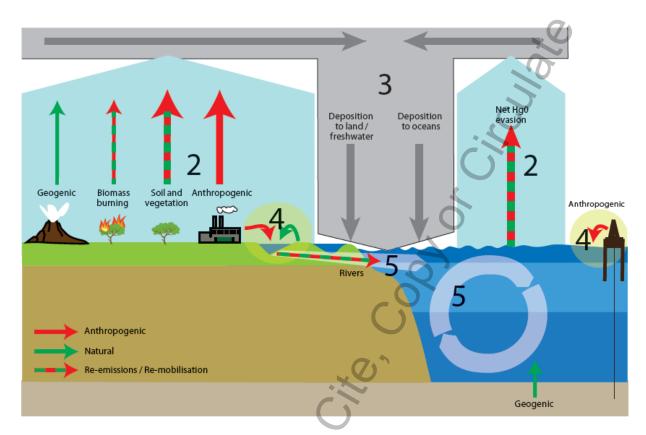
reasons for the different conclusions from peat, lake sediment and glacial ice archives is called for.

366 Arriving at an agreed historical emission figure from precious metal mining would eliminate a large

367 degree of the uncertainty surrounding current anthropogenic Hg inventories in soils and the oceans.

The accuracy of the recent global emission inventories, including that in AMAP/UNEP (2013), has been 368 369 questioned in part because of the inconsistency between the recent trends in emission inventories, 370 which are flat or increasing, and the large (~30-40%) decreases in atmospheric GEM and wet deposition 371 since 1990 at background Northern Hemisphere monitoring stations (Zhang et al., 2016). The latter 372 authors found that the emissions and GEM trends could be brought into closer agreement by accounting for the decline in Hg release from commercial products over this period, by reducing the atmospheric 373 374 revolatilization rate of Hg from present-day artisanal and small-scale gold mining, and by accounting for 375 the shift in Hg<sup>0</sup>/Hg<sup>II</sup> speciation of emissions from coal-fired utilities after implementation of gaseous pollutant control measures. Because the emission inventories are the basis of global modelling efforts, 376 resolving this discrepancy will improve the accuracy of global budgets and future trend scenarios. ASGM 377 378 emissions were the largest single anthropogenic source of atmospheric Hg in AMAP/UNEP (2013), but this finding has been disputed (Engstrom et al., 2014; Zhang et al., 2016). Verifiable and higher quality 379 380 emission data from ASGM operations are therefore a priority need.

The global models and an improved understanding of the global Hg cycle are important for our capacity 381 382 to predict how regulatory efforts to reduce current emissions to air, water and land will affect 383 concentrations in environmental compartments, biota and human exposure. The large uncertainties and 384 identified knowledge gaps described above should not be taken as a sign that regulatory action is not 385 needed or can be delayed until the large research efforts have led to a reduction of these uncertainties. 386 All models and evaluations based on field measurements are in agreement that current anthropogenic 387 emissions of Hg lead to increased environmental exposure of wildlife and humans (albeit of varying 388 magnitude) and that reducing these emissions is a necessity for reducing the negative environmental 389 impacts of Hg. The uncertainties and knowledge gaps are mainly affecting our capability to predict 390 where and when the environment will respond to reduced emissions, not if it will.

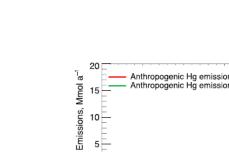


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Figure 1.2.1 Summary diagram of global movements of total mercury between air, soils and oceans (source: AMP/UNEP 2013). Figure to be redrafted (remove chapter numbers, add deposition into mineral soils and deep ocean sediments, deposition arrows to land and oceans need to be mixed red/green colour, remove marine oil well symbol).

Solie Mole

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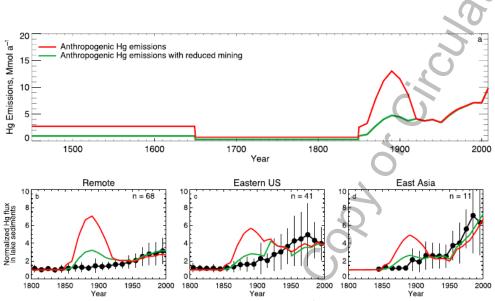


Figure 1. Historical trends in anthropogenic Hg emissions and Hg accumulation flux to lake sediments. (a) Global anthropogenic Hg emissions between 1450 and 2008. The emission inventory from Streets et al. (3) is shown in red, while the emission inventory with mining emissions reduced by a factor of 3 is shown in green. Mean historical Hg flux inferred from sediment cores in (b) 68 remote lakes, (c) 41 lakes in the eastern U.S., and (d) 11 lakes in East Asia. All the fluxes are normalized to 1800–1850 levels. Observations (black filled circles with vertical line showing  $1\sigma$ ) are compared against model results (red and green lines correspond to the original and reduced mining inventories, respectively).

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- 403 Figure 1.2.2. Revision of global anthropogenic Hg emission history based on a three-fold reduction in
- 404 mining emissions from 1450 to ~1920 AD. (Source: Zhang et al. 2014).

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405 [figure to be redrawn, and caption revised using Zhang's caption, if this fig is used)

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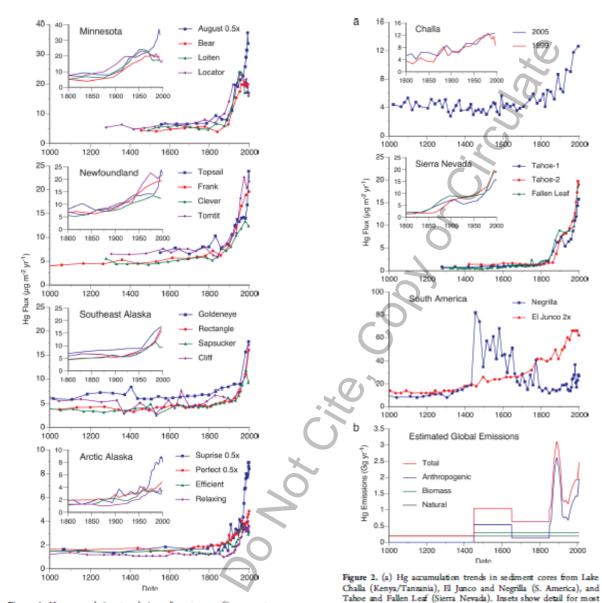


Figure 1. Hg accumulation trends in sediment cores from remote North American lakes. Fluxes scaled by 0.5× for August, Surprise, and

Relaxing lakes. Insets show detail for most recent 200 years.

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recent 200 years. The two cores shown for Lake Challa were collected

in 1999 and 2005 from nearby locations,  $^{36}$  while the two cores from Lake Tahoe were collected from different parts of the basin.  $^{33}$  (b)

Primary Hg emissions as estimated by Streets et al.<sup>15</sup>

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Figure 1.2.3 Historical Hg fluxes in global lake 414

- sediments. From Engstrom et al. 2014 ES&T. 415
- [figure to be redrawn, and caption revised using 416
- Engstrom's caption, if this fig is used) 417

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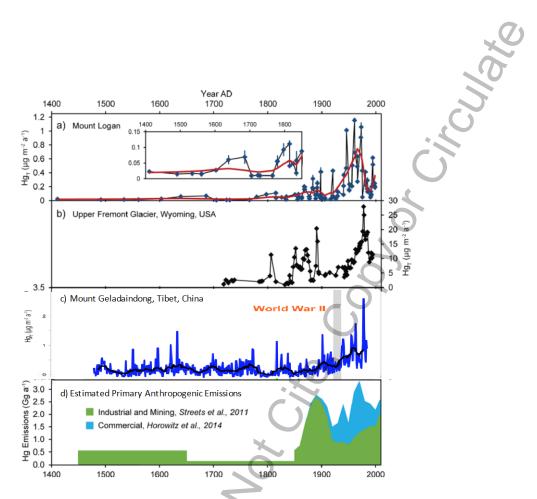
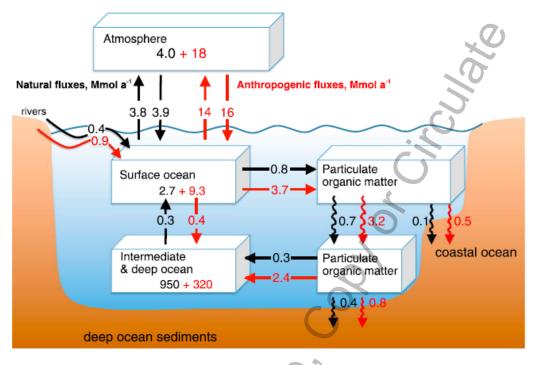


Figure 3. Multicentury  $Hg_T$  records from ice cores compared with estimates of primary anthropogenic emissions used in recent global Hg models. (a) Mount Logan  $Hg_T$  fluxes (blue points) with  $1\sigma$  error bars, LOESS smoother (red line), and inset with adjusted *y*-axis for the Preindustrial Period. (b)  $Hg_T$  fluxes in the Upper Fremont Glacier ice core calculated using an assumed constant accumulation rate of 800 kg m<sup>-2</sup> a<sup>-1</sup> modified from Schuster et al.<sup>1</sup> (c) Geladaindong Glacier HgT fluxes (bars) with the 15-year running average (blue line)(Kang et al., 2016).

- (d) Estimated primary anthropogenic Hg emissions from industrial and mining sources modified from Streets et al.,<sup>7</sup> and additional
- 421 Figure 1.2.4. Glacial ice core records of atmospheric Hg deposition from Mount Logan, Yukon (source:
- 422 Beal et al., 2016), the Upper Fremont Glacier, Wyoming, USA (source : Beal et al. 2015) and Mount
- 423 Geladaindong, Tibetan Plateau, China (source: Kang et al., 2016), compared with the suggested global
- 424 atmospheric emission since 1450 AD by Streets et al. (2011).
- 425 [figure source from Beal et al 2016, to be redrawn, and caption revised using Beal's caption, if this fig is
  426 used]



**Figure 5.** Human influence on the marine Hg cycle. The numbers in the boxes correspond to the mass of Hg in each reservoir (in units of Mmol), while the arrows indicate fluxes in Mmol a <sup>1</sup>. The preanthropogenic conditions are in black arrows and numbers, while the human perturbation is shown in red.

- 428
- 429 Figure 1.2.5. Natural and anthropogenic Hg inputs and masses in the world's oceans. from Zhang et al.
- 430 2014 GBC (to be redrawn, and Mmol units converted into kilotonnes).

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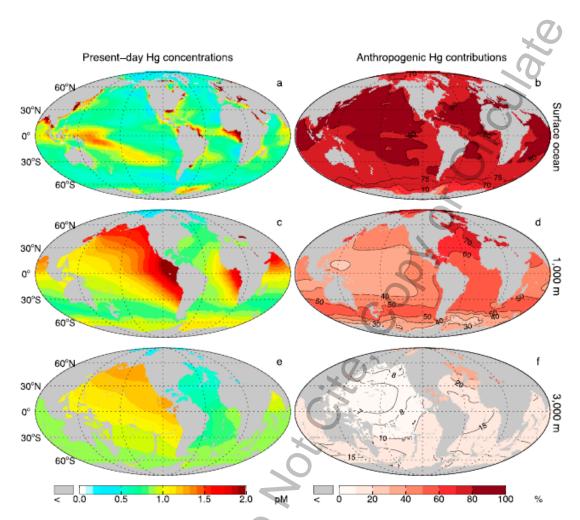


Figure 3. Spatial distribution of Hg concentrations in the present-day ocean. Annual mean concentrations of total Hg (in pM) for (a) the mixed layer, (c) 1000 m depth, and (e) 3000 m depth. (b, d, and f) Contributions of anthropogenic Hg to the present-day concentrations, expressed in percentage.

- Figure 1.2.6. Inter-basin and vertical distribution of total Hg concentrations, and the fraction of 434
- 435 anthropogenic Hg, in today's oceans. Use caption shown, but figure will be redrawn. from Zhang et al.
- 2014 GBC. 436 Reviewr
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